



## Notice of Privacy Practices

THIS NOTICE DESCRIBES HOW Dental INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION

PLEASE READ IT CAREFULLY

The Health Insurance Portability & Accountability Act of 1996 ("HIPAA") is a Federal program that requests that all Dental records and other individually identifiable health information used or disclosed by us in any form, whether electronically, on paper, or orally are kept properly confidential. We understand that your Dental information is private and confidential. We are required by law to maintain the privacy of "protected health information. We are required by law to maintain the privacy of your PHI and to provide you the notice of our legal duties and our privacy practice with respect to PHI.

This Act gives you, the patient, the right to understand and control how your protected health information ("PHI") is used.

As required by HIPAA, we prepared this explanation of how we are to maintain the privacy of your health information and how we may disclose your personal information. We must comply with the provisions of this notice as currently in effect, although we reserve the right to change the terms of this notice from time to time and to make the revised notice effective for all PHI we maintain.

1. We may use and disclose your Dental records for each of the following purposes: treatment, payment and health care operation.
  - **Treatment** means providing, coordinating, or managing health care and related services by one or more healthcare providers. An example of this is a primary care doctor referring you to a specialist doctor.
  - **Payment** means such activities as obtaining reimbursement for services, confirming coverage, billing or collections activities, and utilization review. An example of this would include sending your insurance company a bill for your visit and/or verifying coverage prior to a surgery.
  - **Health Care Operations** include business aspects of running our practice, such as conducting quality assessments and improving activities, auditing functions, cost management analysis, and customer service. An example of this would be new patient survey cards.
  - It is understood that we will share protected health information with one another, as necessary, to carry out treatment, payment or health care operations relating to the services to be rendered within our practice
  - The practice may also be required or permitted to disclose your PHI for law enforcement and other legitimate reasons. In all situations, we shall do our best to assure its continued confidentiality to the extent possible.

800 Ocean Parkway, Ste AA, Brooklyn, NY 11230 | 718.633.4963

2425 Kings Highway, Ste A10, Brooklyn, NY, 11229 | 718.253.6605

- We may also create and distribute de-identified health information by removing all reference to individually identifiable information.
  - If you have paid for services "out of pocket", in full and in advance, and you request that we not disclose PHI related solely to those services to a health plan, we will accommodate your request, except where we are required by law to make a disclosure.
2. We may also use or disclose your PHI:
- To provide appointment reminders for Dental care.
  - To tell you about health-related treatment or benefits that may pertain to you.
  - To your family or friends or any other individual identified by you to the extent directly related to such person's involvement in your care or the payment for your care. We may use or disclose your PHI to notify, or assist in the notification of, a family member, a personal representative, or another person responsible for your care, of your locations, general condition or death. If you are available, we will determine whether a disclosure to your family or friends is in your best interest, taking into account the circumstances and based upon our professional judgment, unless otherwise stated by you in a Request for Limitations and Restrictions of PHI, which is subject to our approval.
  - We will allow your family and friends to act on your behalf to pick-up filled prescriptions, Dental supplies, X-rays, and similar forms of PHI, when we determine, in our professional judgment, that it is in your best interest to make such disclosures.
  - With this consent, Alan B. Kohn, DDS, PC and/or Kohn & Kollander Dental PLLC may call my home, office or other alternative location and leave a message on voice mail or in person in reference to any items that assist the practice in carrying out TPO, such as appointment reminders, insurance items and any calls pertaining to my clinical care, including laboratory results among others
  - With this consent, Alan B. Kohn, DDS, PC and/or Kohn & Kollander Dental PLLC may mail to my home or other alternative location any items that assist the practice in carrying out TPO, such as appointment reminder cards and patient statements.
  - With this consent, Alan B. Kohn, DDS, PC and/or Kohn & Kollander Dental PLLC may e-mail to my home, office or other alternative location any items that assist the practice in carrying out TPO.

**Note: incidental uses and disclosures of PHI sometimes occur and are not considered to be a violation of your rights. Incidental uses and disclosures are by-products of otherwise permitted uses or disclosures which are limited in nature and cannot be reasonably prevented**

Subject to the requirements of applicable law, we will make the following uses and disclosures of your PHI:

- Military and Veterans. If you are a member of the Armed Forces, we may release PHI about you as required by military command authorities.
- Worker's Compensation. We may release PHI about you for programs that provide benefits for work-related injuries or illnesses.
- Public Health Activities. We may disclose PHI about you for public health activities, including disclosures:
  - to prevent or control disease, injury or disability;
  - to report births and deaths;
  - to report child abuse or neglect;
  - to persons subject to the jurisdiction of the Food and Drug Administration (FDA) for activities related to the quality, safety, or effectiveness of FDA-regulated products or services and to report reactions to medications or problems with products;

800 Ocean Parkway, Ste AA, Brooklyn, NY 11230 | 718.633.4963  
 2425 Kings Highway, Ste A10, Brooklyn, NY, 11229 | 718.253.6605

- to notify a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition;
  - to notify the appropriate government authority if we believe that an adult patient has been the victim of abuse, neglect or domestic violence. We will only make this disclosure if the patient agrees or when required or authorized by law.
  - Health Oversight Activities. We may disclose PHI to federal or state agencies that oversee our activities (e.g., providing health care, seeking payment, and civil rights).
  - Lawsuits and Disputes. If you are involved in a lawsuit or a dispute, we may disclose PHI subject to certain limitations.
  - Law Enforcement. We may release PHI if asked to do so by a law enforcement official:
    - In response to a court order, warrant, summons or similar process;
    - To identify or locate a suspect, fugitive, material witness, or missing person; o About the victim of a crime under certain limited circumstances;
    - About a death we believe may be the result of criminal conduct;
    - About criminal conduct on our premises; or
    - In emergency circumstances, to report a crime, the location of the crime or the victims, or the identity, description or location of the person who committed the crime.
  - Coroners, Medical Examiners and Funeral Directors. We may release PHI to a coroner or Dental examiner or funeral director.
  - National Security and Intelligence Activities. We may release PHI about you to authorized federal officials for intelligence, counterintelligence, other national security activities authorized by law or to authorized federal officials so they may provide protection to the President or foreign heads of state.
  - Inmates. If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may release PHI about you to the correctional institution or law enforcement official. This release would be necessary (1) to provide you with health care; (2) to protect your health and safety or the health and safety of others; or (3) for the safety and security of the correctional institution.
  - Serious Threats. As permitted by applicable law and standards of ethical conduct, we may use and disclose PHI if we, in good faith, believe that the use or disclosure is necessary to prevent or lessen a serious and imminent threat to the health or safety of a person or the public or is necessary for law enforcement authorities to identify or apprehend an individual.
3. The following use and disclosures of PHI will only be made pursuant to us receiving a written authorization from you:
- Most uses and disclosure of psychotherapy notes;
  - Uses and disclosure of your PHI for marketing purposes, including subsidized treatment and health care operations;
  - Disclosures that constitute a sale of PHI under HIPAA; and
  - Other uses and disclosures not described in this notice.

You may revoke such authorization in writing and we are required to honor and abide by that written request, except to the extent that we have already taken actions relying on your prior authorization.

4. You have the right to inspect and copy the PHI contained in our practice records, except:
  - for psychotherapy notes, (i.e., notes that have been recorded by a mental health professional documenting counseling sessions and have been separated from the rest of your Dental record);
  - for information compiled in reasonable anticipation of, or for use in, a civil, criminal, or administrative action or proceeding; for PHI involving laboratory tests when your access is restricted by law;
  - if you are a prison inmate, and access would jeopardize your health, safety, security, custody, or rehabilitation or that of other inmates, any officer, employee, or other person at the correctional institution or person responsible for transporting you;
  - if we obtained or created PHI as part of a research study, your access to the PHI may be restricted for as long as the research is in progress, provided that you agreed to the temporary denial of access when consenting to participate in the research;
  - for PHI contained in records kept by a federal agency or contractor when your access is restricted by law; and
  - for PHI obtained from someone other than us under a promise of confidentiality when the access requested would be reasonably likely to reveal the source of the information;
  - For any reasons listed in our Patient denial letter

In order to inspect or obtain a copy of your PHI, you may submit your request in writing. If you request a copy, we may charge you a fee for the costs of copying and mailing your records, as well as other costs associated with your request.

We may also deny a request for access to PHI under certain circumstances if there is a potential for harm to yourself or others. If we deny a request for access for this purpose, you have the right to have our denial reviewed in accordance with the requirements of applicable law.

5. You have the right to request an amendment to your PHI but we may deny your request for amendment, if we determine that the PHI or record that is the subject of the request:
  - was not created by us
  - is not part of your Dental or billing records or other records used to make decisions about you;
  - is not a part of the patients designated record set.
  - is accurate and complete.

In any event, any agreed upon amendment will be included as an addition to, and not a replacement of, already existing records. In order to request an amendment to your PHI, you must submit your request in writing to Dental Record Custodian at our Practice, along with a description of the reason for your request.

6. You have the right to receive an accounting of disclosures of PHI made by us to individuals or entities other than to you for the six years prior to your request, except for disclosures:
  - to carry out treatment, payment and health care operations as provided above;
  - incidental to a use or disclosure otherwise permitted or required by applicable law;
  - pursuant to your written authorization;
  - to persons involved in your care or for other notification purposes as provided by law;
  - for national security or intelligence purposes as provided by law;
  - to correctional institutions or law enforcement officials as provided by law;
  - as part of a limited data set as provided by law.

800 Ocean Parkway, Ste AA, Brooklyn, NY 11230 | 718.633.4963  
2425 Kings Highway, Ste A10, Brooklyn, NY, 11229 | 718.253.6605

To request an accounting of disclosures of your PHI, you must submit your request in writing to the Privacy Officer at our Practice. Your request must state a specific time period for the accounting (e.g., the past three months). The first accounting you request within a twelve (12) month period will be free. For additional accountings, we may charge you for the costs of providing the list. We will notify you of the costs involved, and you may choose to withdraw or modify your request at that time before any costs are incurred.

7. You have the right to receive a notification, in the event that there is a breach of your unsecured PHI, which requires notification under the Privacy Rule.

This notice is effective as of October 1, 2013 and it is our intention to abide by the terms of the Notice of Privacy Practices and HIPAA Regulations currently in effect. We reserve the right to change the terms of our Notice of Privacy Practice and to make the new notice provision effective for all PHI that we maintain. We will post a copy and you may request a written copy of the revised Notice of Privacy Practice from our office.

You have recourse if you feel that your protections have been violated by our office. You have the right to file a formal, written complaint with the practice and with the Department of Health and Human Services, Office of Civil Rights. We will not retaliate against you for filing a complaint.

Feel free to contact the Practice Compliance Officer at (718) 633-4963 for more information, in person or in writing.